

CALIFORNIA HIGH-SPEED RAIL AUTHORITY

925 L Street, Suite 1425
Sacramento, CA 95814
(916) 324-1541



HIGH SPEED RAIL AUTHORITY

CONFLICT OF INTEREST CODE

Introduction

This Conflict of Interest Code (Code) shall govern the conduct of all High Speed Rail Authority (Authority) members, Executive Director, and designated staff and consultants¹. The laws and regulations which govern the conduct of public officers and employees of the Authority are contained in various California Code sections. The Authority's Code is based upon the Political Reform Act of 1974, as amended; Government Code Sections 1090 et seq. and 87100 et seq.; and all applicable laws, rules and regulations relating to these statutes. All Authority members, Executive Director, and designated staff and consultants shall be familiar with and governed by the provisions of the Policy.

Conflict of Interest Code

No Authority member, consultant or employee shall solicit or accept for personal use, directly or indirectly, from any person, corporation or group, any gift, gratuity, entertainment or loan from representatives of any organization which provides, or is desirous of providing, goods or services to the Authority. No Authority member, consultant or employee shall accept gifts from any single source in any calendar year in excess of the annual limit, or any honorarium.

No Authority member, consultant, or employee shall use Authority time, facilities, equipment or supplies for private gain or political purposes. No Authority member,

¹ For purposes of this Conflict of Interest Code and its appendices, the definition of "consultant" is as that word is defined in Title 2, California Code of Regulations, section 18701.

Edward P. Graveline, Vice-Chair
Dr. Ernest A. Bates
Jerry B. Epstein

John P. Fowler
Ben Hom
William E. Leonard

T.J. Stapleton
Leland Wong

SCHEDULE D
Income - Gifts

Name _____

Quentin Kopp - extract

▶ NAME OF SOURCE
Parsons, Inc.
 ADDRESS (Business Address Acceptable)
Pasadena, Ca.
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
Engineering/Construction

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1.1.09</u>	<u>\$ 400</u>	<u>Rose Bowl tickets</u>
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____

▶ NAME OF SOURCE
Association of Calif. High Speed Trains
 ADDRESS (Business Address Acceptable)
915 L St., Sacramento, CA 95814
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
Advance high speed rail

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>9.22.09</u>	<u>\$ 308.31</u>	_____
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____

▶ NAME OF SOURCE

 ADDRESS (Business Address Acceptable)

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____
____/____/____	\$ _____	_____

Comments: _____

**SCHEDULE D
Income – Gifts**

Name
Curt Pringle

➤ NAME OF SOURCE
Steve Sheldon

ADDRESS
901 Dove Street, Irvine, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
consulting

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 20 / 06</u>	\$ <u>100</u>	<u>wine</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

➤ NAME OF SOURCE
Parsons Brinkerhoff

ADDRESS
Los Angeles, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
transportation

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10 / 22 / 06</u>	\$ <u>125</u>	<u>meal - Denver</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

➤ NAME OF SOURCE
Aramark

ADDRESS
Denver, CO

BUSINESS ACTIVITY, IF ANY, OF SOURCE
food service

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>10 / 23 / 06</u>	\$ <u>125</u>	<u>hockey game</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

➤ NAME OF SOURCE
PBM

ADDRESS
San Francisco, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
financial consultant

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 6 / 06</u>	\$ <u>175</u>	<u>meal</u>
<u>12 / 8 / 06</u>	\$ <u>150</u>	<u>meal</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

➤ NAME OF SOURCE
Citibank

ADDRESS
San Francisco, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
investment banking

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 7 / 06</u>	\$ <u>150</u>	<u>meal</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

➤ NAME OF SOURCE
NFL

ADDRESS
New York, NY

BUSINESS ACTIVITY, IF ANY, OF SOURCE
football

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 20 / 06</u>	\$ <u>250</u>	<u>portable plr/nfl dvds</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

Comments: _____

SCHEDULE D Income - Gifts

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name <div style="text-align: center; margin-top: 10px;">Curt Pringle</div>

➤ NAME OF SOURCE
PFM

ADDRESS
San Francisco, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
financial advisors

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 2 / 07</u>	<u>\$ 140</u>	<u>dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

➤ NAME OF SOURCE
Citibank

ADDRESS
New York, NY

BUSINESS ACTIVITY, IF ANY, OF SOURCE
bank

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 3 / 07</u>	<u>\$ 140</u>	<u>dinner</u>
<u>12 / 4 / 07</u>	<u>\$ 150.00</u>	<u>dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

➤ NAME OF SOURCE
Lucy Dunn

ADDRESS
Coto de Casa, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 21 / 07</u>	<u>\$ 125</u>	<u>dinner and show</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

➤ NAME OF SOURCE
Steve Sheldon

ADDRESS
901 Dove Street, Irvine, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
consultant

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 20 / 07</u>	<u>\$ 100</u>	<u>wine</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

➤ NAME OF SOURCE
Parsons Transportation

ADDRESS
Pasadena, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
transportation firm

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>8 / 27 / 07</u>	<u>\$ 110</u>	<u>Hollywood Bowl ticket</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

➤ NAME OF SOURCE

ADDRESS

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: _____

SCHEDULE D Income - Gifts

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name <p style="text-align: center; margin: 0;">Curt Pringle</p>

> NAME OF SOURCE
Walt Disney Co.

ADDRESS
Anaheim, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
entertainment

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3 / 18 / 07</u>	<u>\$ 21.99</u>	<u>Breakfast</u>
<u>5 / 10 / 07</u>	<u>\$ 10.50</u>	<u>Breakfast</u>
<u>5 / 19 / 07</u>	<u>\$ 267.50</u>	<u>Pirate Premiere</u>

> NAME OF SOURCE
Walt Disney Co.

ADDRESS
Anaheim, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
entertainment

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>6 / 6 / 07</u>	<u>\$ 204.00</u>	<u>Nemo Opening (2)</u>
<u>6 / 19 / 07</u>	<u>\$ 10.99</u>	<u>Breakfast</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

> NAME OF SOURCE
AT&T

ADDRESS
Washington DC

BUSINESS ACTIVITY, IF ANY, OF SOURCE
telecommunications

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>1 / 24 / 07</u>	<u>\$ 125</u>	<u>dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

> NAME OF SOURCE
Adrian Foley

ADDRESS
San Juan Capistrano, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
home builder

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4 / 20 / 07</u>	<u>\$ 150</u>	<u>dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

> NAME OF SOURCE
UBS

ADDRESS
San Francisco, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
bank

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4 / 22 / 07</u>	<u>\$ 130</u>	<u>dinner</u>
<u>4 / 23 / 07</u>	<u>\$ 120</u>	<u>dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>

> NAME OF SOURCE
Excel Realty

ADDRESS
San Diego, CA

BUSINESS ACTIVITY, IF ANY, OF SOURCE
developer

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>5 / 21 / 07</u>	<u>\$ 120</u>	<u>dinner</u>
<u> / / </u>	<u>\$ </u>	<u> </u>
<u> / / </u>	<u>\$ </u>	<u> </u>

Comments: *** Reimbursement of \$124.98 sent to Walt Disney Co. August 2007.

SCHEDULE D
Income - Gifts

▶ NAME OF SOURCE
Parsons Transportation
 ADDRESS
Washington DC
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
transportation services

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>3 / 6 / 08</u>	\$ <u>120</u>	<u>meal</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Anaheim Chamber of Commerce
 ADDRESS
Anaheim, CA
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
chamber

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4 / 21 / 08</u>	\$ <u>100</u>	<u>meal</u>
<u>9 / 17 / 08</u>	\$ <u>100</u>	<u>meal</u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
BIA of Southern Calif
 ADDRESS
1330 South Valley Vista Drive, Diamond Bar, CA
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
association

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>4 / 30 / 08</u>	\$ <u>100</u>	<u>meal</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE
Dzu Li
 ADDRESS
City of Anaheim, Anaheim, CA
 BUSINESS ACTIVITY, IF ANY, OF SOURCE
city employee

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u>12 / 20 / 08</u>	\$ <u>130</u>	<u>wine and candy</u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE

 ADDRESS

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

▶ NAME OF SOURCE

 ADDRESS

 BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>
<u> / / </u>	\$ <u> </u>	<u> </u>

Comments: _____